

FY 2007: Budget and Performance Integration Initiatives

4/30/2007

INITIATIVE	RATIONALE	PRIORITIZATION (TIMING & RESOURCES)	NEW, ENHANCED, OR ONGOING	MISSION IMPACT: LOW, MEDIUM, HIGH	IMPACT ON NIH MISSION
Improve Budget and Performance Integration					
Meet OMB mandated "Green" Standards for Success and Proud-To-Be 4 Goals.	PMA HHS Strategic Plan Objectives	By 30 Sep 07	On-going	High	Scorecard rating; performance rating
Senior agency managers meet at least quarterly to examine reports that integrate financial and performance information that covers all major responsibilities of the Department. (4th Qtr FY 2007).	GREEN Standards for Success	30-Sep-07	On-going	High	Scorecard rating; performance rating
Strategic plans contain a limited number of outcome-oriented goals and objectives. Annual budget and performance documents incorporate measures identified in the PART and focus on the information used in the senior management report described in the first criterion (4th Qtr FY 2007).	GREEN Standards for Success	30-Sep-07	On-going	High	Scorecard rating; performance rating
Report the full cost of achieving performance goals accurately in budget and performance documents and can accurately estimate the marginal cost of changing performance goals (4th Qtr FY 2007).	GREEN Standards for Success	30-Sep-07	On-going	High	Scorecard rating; performance rating
Has at least one efficiency measure for all PARTed programs.	GREEN Standards for Success	4th Qtr FY 2007	On-going	High	Scorecard rating; performance rating
Use PART evaluations to direct program improvements and hold managers accountable for those improvements, and PART findings and performance information are used consistently to justify funding requests, management actions, and legislative proposals.	GREEN Standards for Success	1st Qtr FY 2007	On-going	High	Scorecard rating; performance rating
Less than 10% of NIH's programs receive a Results Not Demonstrated rating for two years in a row.	GREEN Standards for Success NIH Director Performance Plan	4th Qtr FY 2007	On-going	High	Scorecard rating; performance rating
Improve program performance and efficiency each year.	Standards for Success to MAINTAIN GREEN	30-Sep-07	On-going	High	Scorecard rating; performance rating
Use marginal cost analysis to inform resource allocations, as appropriate.	Standards for Success to MAINTAIN GREEN	30-Sep-07	On-going	High	Scorecard rating; performance rating
Ensure that all completed efficiency measures have baseline and target data.	NIH Director Performance Plan	30-Sep-07	On-going	High	Scorecard rating; performance rating
Improve program performance and efficiency using PART reviews and other tools.	PMA HHS Strategic Plan Objectives	By 30 Sep 07	On-going	High	Scorecard rating; performance rating

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Ensure that high quality efficiency measures for all PARTed programs are in use.	NIH Director Performance Plan	30-Sep-07	On-going	High	Scorecard rating; performance rating
Submit timely performance budget justifications to the Department, OMB, and Congress that clearly link the accomplishments of performance goals with the level of funding requested.	PMA HHS Strategic Plan Objectives	By 30 Sep 07	On-going	High	Budget received could be jeopardized if don't submit timely performance budget justifications to HHS, OMB and Congress that clearly link the accomplishment of performance goals with the level of funding requested.
Participate in and meet deadlines for data calls for the FY 2008, FY 2009, and FY 2010 budget cycles.	BO Performance Plans	By 30 Sep 07	On-going	High	Scorecard rating; performance rating
Identify critical strategic outcome measures (like RPGs and average cost) that can be linked to funding.	BO & EO Performance Plans	By 30 Sep 07	On-going	High	Scorecard rating; performance rating
DHHS Key Milestones					
Submit relevant sections of performance appraisal plans for a sample of relevant managers at the senior level.	PMA DHHS Proud To Be	By October 2006	On-going	Medium	Scorecard rating; performance rating
Complete the FY 2006 Performance and Accountability Report.	PMA DHHS Proud To Be	By November 2006	On-going	Medium	Scorecard rating; performance rating
Finalize PART summaries, improvement plans, and performance data in PART Web for 2002-2006 PARTs.	PMA DHHS Proud To Be	By November 2006	On-going	Medium	Scorecard rating; performance rating
Submit finalized list of efficiency measures for all PARTed programs, ensuring that all completed efficiency measures have baseline and target data.	PMA DHHS Proud To Be	By November 2006	On-going	Medium	Scorecard rating; performance rating
Meet criteria for achieving green status in the RND PART Rating category.	PMA DHHS Proud To Be	By November 2006	On-going	Medium	Scorecard rating; performance rating
Submit FY 2008 Performance Budgets to Congress.	PMA DHHS Proud To Be	By February 2007	On-going	Medium	Scorecard rating; performance rating
Submit marginal cost analyses.	PMA DHHS Proud To Be NIH Director Performance Plan	By February 2007	On-going	Medium	Scorecard rating; performance rating
Prepare a list of programs for PART assessment and re-assessment during CY 2007.	PMA DHHS Proud To Be	By February 2007	On-going	Medium	Scorecard rating; performance rating
Submit draft revised Strategic Plan.	PMA DHHS Proud To Be	By February 2007	On-going	Medium	Scorecard rating; performance rating
Begin work and submit first PART drafts in accordance with PART guidance. NIH does not have a 2009 PART conducted in 2007. However, NIH may be asked to participate in the HHS Minority AIDS crosscut PART.	PMA DHHS Proud To Be	By April 2007	On-going	Medium	Scorecard rating; performance rating

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Submit FY 2009 Performance Budgets to the Department.	PMA DHHS Proud To Be	By June 2007	On-going	Medium	Scorecard rating; performance rating
Use PART and performance information to inform decisions during the FY 2009 Department budget decision process.	PMA DHHS Proud To Be	By August 2007	On-going	Medium	Scorecard rating; performance rating
Submit FY 2009 Performance Budgets to OMB.	PMA DHHS Proud To Be	By September 2007	On-going	Medium	Scorecard rating; performance rating
Budget and GPRA Submissions					
08 CJ to DHHS (Jan 07)	GPRA /HHS	High Reporting to HHS for PMA Scorecard	On-going	High	Mission accomplishment depends on the success of collecting and submitting all parts of the budget submissions on time.
09 Budget Exercise (March - Apr 07)	HHS	High	On-going	High	
09 Budget Retreat (May 07)	HHS	High	On-going	High	
09 HHS submission (Jun 07)	GPRA /HHS	High Reporting to HHS for PMA Scorecard	On-going	High	
09 Secretary Council (Jul 07)	HHS	High	On-going	High	
09 OMB-J (Sep 07)	GPRA /HHS	High Reporting to HHS for PMA Scorecard	On-going	High	
09 Passback / Appeals (Nov 07)	HHS/OMB	High For CJ Submission	On-going	High	
09 Settlement (Dec 07-Jan 08)	HHS/OMB	High For CJ Submission	On-going	High	
09 President's Budget (Jan 08)	GPRA /HHS	High Reporting to HHS for PMA Scorecard	On-going	High	

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Agency PART					
Submission OMB (March, 07)	PART/PMA	None		None	No Programs identified to be PARTed in 07---No Impact in 07 but will resume in 08 Mission accomplishment depends on the success of collecting and submitting all requirements on time.
Appeals (June 07)	PART/PMA	None		None	
Final Rating (Aug 07)	PART/PMA	None		None	
Marginal Costs for PARTed programs identified (May 07)	PART/PMA	High PMA Scorecard	On-going	High	
Other Issues					
Provide quarterly status reports to DHHS (scorecard).	PMA HHS Strategic Plan Objectives	Quarterly	On-going	Low	Scorecard input and rating